

- ↳ BN loci
  - maximal BN loci conj
  - fixed generality Prop

- ↳ Distinguishing strategy
  - Pic(S) lemma
  - DM conj (computing  $\chi$  & rk 2)

- ↳ rk 3 DM conj
  - DM lifts

(↳ Example of distinguishing loci genus 16)

- ↳ DM conj  $\Rightarrow$  Max BN conj
  - lattice theory

# Maximal Brill-Noether loci via $k3$ Surfaces

(Joint with Asger Auel)

## ↳ Brill-Noether loci

Classical BN theory studies linear systems on curves.

For a <sup>general</sup> curve  $C$  of genus  $g$  & a linear system of rank  $r$  & degree  $d$ , a  $g^r_d$ , (map  $C \xrightarrow{\text{deg } d} \mathbb{P}^r$ )

the BN number  $\rho(g, r, d) = g - (r+1)(g-d+r)$  is an important numerical criterion for whether  $C$  has a  $g^r_d$ :

• if  $\rho(g, r, d) \geq 0$ , then yes!

• if  $\rho(g, r, d) < 0$ , then no!

Defn when  $\rho(g, r, d) < 0$ , the BN locus is

$$\mathcal{M}_{g,d}^r = \{C \in \mathcal{M}_g \mid C \text{ has a } g^r_d\}.$$

This is a proper closed subset of  $\mathcal{M}_g$ .

Eg/  $\mathcal{M}_{3,2}^1$  genus 3 hyperelliptic curves.

$$\rho(3, 1, 2) = 3 - (2)(3 - 2 + 1) = 3 - 4 = -1$$

well-known that not every genus 3 curve is hyperelliptic.

Facts:

- $\mathcal{M}_{g,d}^r$  has expected codim  $-p$
- when  $p = -1$ ,  $\mathcal{M}_{g,d}^r$  is irred of codim 1

↳ used by Eisenbud, Mumford, Harris Forkeas, and Forkeas-Jensen-Payne in work on Kodaira dim of  $\mathcal{M}_g$

- when  $-3 \leq p \leq -1$ ,  $\mathcal{M}_{g,d}^r$  has codim  $-p$

$$\mathcal{M}_{g,d}^r \subseteq \mathcal{M}_{g,d+1}^r$$

- $\mathcal{M}_{g,d}^r \subseteq \mathcal{M}_{g,d-1}^{r+1}$  when  $p(g, r-1, d-1) \geq 0$

Q: How do  $\mathcal{M}_{g,d}^r$  stratify  $\mathcal{M}_g$ ?

\*genus 14 drawing\* ( $\delta(r,d) = d-2r$ )

Defn The expected maximal BN loci are

the  $\mathcal{M}_{g,d}^r$  s.t. for fixed  $r, d$  is

maximal s.t.  $p(g,r,d) \geq 0$  &  $p(g,r-1,d-1) \geq 0$

i.e., maximal w.r.t. containments above.

(every BN locus is contained in an expected maximal BN locus)

Maximal BN locus conj (MBNL)

In genus  $\geq 9$ , the expected maximal BN loci are distinct, and hence are the maximal BN loci.

<sup>guy</sup> That is, given two exp. max. BN loci,  
 $\mathcal{M}_{g,d}^r$  &  $\mathcal{M}_{g,d}^{r'}$ , there is a curve with  
 a  $g_d^r$  but not a  $g_d^{r'}$ .  
 (point w/ picture)

Pik In genus  $\leq 8$ , this is not true: In genus  
 8, every BN special curve bears a  $g_7^2$ , but  
 the  $g_4^1$  locus is also expected maximal.  
 (Mukai)

Pik In genus 23, the exp max are  $\mathcal{M}_{23,12}^1$   $\mathcal{M}_{23,17}^2$   $\mathcal{M}_{23,20}^3$   $\mathcal{M}_{23,22}^4$   
 $p=-1$   $p=-1$   $p=-1$   $p=-2$   
 Furter proved MBUL very partially & it's known that codim 1 &  
 codim 2 loci are distinct

Prop For  $p(g,s,d) > 0$ ,  $\delta(s,d) \geq \lfloor \frac{g-1}{2} \rfloor + 1$ ,  
 one has  $\mathcal{M}_{g, \lfloor \frac{g+1}{2} \rfloor}^1 \not\subseteq \mathcal{M}_{g,d}^s$

Pf) (Pflueger, Jensen-Bergumathun, Larson, ...)  $\square$

Thm (Arad-H.) The conjecture holds in genus  
 9-19, 22-23.

Approach: To distinguish the remaining exp. max BN loci,  
 use linear systems on curves on K3 surfaces  
 to translate the problem to lattice theory  
 of  $\text{Pic}(S)$ . (Farkas, gonality stratification paper)

why K3's: curves on K3's have been constant part  
 of BN theory (Green, Lazarsfeld, D1, Harris)

↳ Curves on K3 surfaces

Let  $(S, H)$  be a polarized K3 surface  
 of genus  $g$  ( $H^2 = 2g - 2$ )

$C \in |H|$  a sm. irreducible curve. ( $C$  has genus  $g$ )

Thm (Lazarsfeld) If  $\text{Pic}(S) = \mathbb{Z}H$  then  $C$  is BN  
 general

→ so need higher Picard rank for  $C$  to have  
 BN special systems.

Prop If  $\text{Pic}(S)$  has a primitive embedding of

$$\begin{array}{c} \mathbb{Z}^r \\ \mathbb{Z}^d \end{array} = \begin{array}{c} H \quad L \\ \hline H \mid 2g-2 \quad d \\ L \mid d \quad 2r-2 \end{array}, \quad r \geq 2, \quad \text{odd } d \leq g-1,$$

and  $L$  and  $H-L$  are basepoint free,

then  $L|_C$  is a g.d.

What if this curve has a g.d'?

Pik If we had a converse to above prop,  
 we have some lattice cond.

Beau, Saint-Donat, Knutsen, Donagi-Morrison,  
 Lelli-Lana have proven various converses.

Idea: If had general converse, "lifting  $g_d^1$ "  
 $I_{g,d}^r$  &  $I_{g,d}^{r'}$  are different.

But, we don't have such a converse,...

Conj (Donagi-Morrison, Velli-Chiesa)

$$\text{genus}(C) \geq 2.$$

A complete basept free  $g_d^r$  on  $C$  w/  $d \leq g-1$   
and  $\rho(g, r, d) \geq 0$ .

Then there is a line bundle  $M$  on  $S$   
adapted to  $H$  st.  $|A| \subseteq |M|_C$  and

$$\delta(M|_C) \leq \delta(A) = d - 2r.$$

Defn Call  $M$  a DM lift of  $A$ .

Results  $g \geq 2$  ✓ (Saint-Donat)

$r=1$ ,  $d \geq 2$  bound ✓ (Reid)

$r=1$  ✓ (DM)

$r=2$  ✓ (LC)

computes  $\delta(C)$  ✓ (LC) up to finite  
exceptions

Thm (And-H) DM conj holds for  $g \geq 3$  if  $d \leq \text{bound}$   
depending  
on  $\delta(C)$  and  $\text{Pic}(S)$ .

↳ DM conj  $\Rightarrow$  maximal BN loci conj.

If we want to show

$\mathcal{M}_{g,d}^r \not\subseteq \mathcal{M}_{g',d'}^{r'}$  for two exp max. loci,

we suppose  $C \subset S$  w/  $\Delta_{g,d}^r = \text{Pic}(S)$  and

we suppose  $C$  has a  $g_{d'}^{r'}$ .

Then if DM conj holds for  $g_{d'}^{r'}$ , we get  
a line bundle  $\mathcal{M} \in \text{Pic}(S)$  s.t.

$\mathcal{M}^2 = 2s - 2$  &  $\mathcal{M} \cdot H = e$ . ( $\mathcal{M}$  is like a lift of a  $g_e^s$ )

$s$  &  $e$  depend on  $s'$  &  $d'$ , and there are finitely  
many possible such  $\mathcal{M}$ .

Thus we have  $\Delta_{g_e^s} \subseteq \Delta_{g,d}^r$ .

Lattice cond: such  $\Delta_{g_e^s} \not\subseteq \Delta_{g,d}^r$ .

This lattice condition can be easily checked

Thm (A-H) If this lattice condition holds  
and the DM conj holds for fixed  $g$ , then the  
max BN locus conj holds.

- Easy to check lattice cond. holds in genus  $\leq 88$
- DM conj holds in  $rk \leq 3$  & surfaces  
do show MBNL conj for genus 9-19, 22, 23

## Lazarsfeld-Mukai Bundles

Let  $A$  a complete  $h$ -free  $g$ d on  $C$ .

$$0 \rightarrow F_{C,A} \rightarrow H^0(C,A) \otimes \mathcal{O}_C \rightarrow A \rightarrow 0$$

$$\xrightarrow{\text{dual}} 0 \rightarrow H^0(C,A)^\vee \otimes \mathcal{O}_C \rightarrow E_{C,A} \rightarrow \omega_C \otimes A^\vee \rightarrow 0$$

$\hookrightarrow$  LM bundle

These were introduced by Lazarsfeld in proof of BN theorem.

Properties of  $E_{C,A}$ :

$$c_1 = [C] = H, \quad c_2 = d, \quad rk = r+1$$

$p(A) > 0 \Rightarrow E_{C,A}$  is not stable

Prop suppose  $E_{c,A}$  is unstable and its maximal destabilizing subsheaf is a line bundle  $N$ .

Then  $M = H - N$  is a DM lift of  $A$

(already implicit in work of Lazarsfeld & DM)

↳ Sketch of proof of rk 3 DM conj

$A$  is a  $g_d^3$ ,  $E_{c,A}$  is rk 4 v.b. on  $S$ .

want a filtration like  $0 \subset N \subset E_{c,A}$  (1C4 filtration)

so rule out other filtrations

(e.g. 1C2C3C4)

Thm For a filtration not of type 1C4,  
 $C_2(E_{c,A}) \gg 0$ .

Idea:  $C_2(E_{c,A}) = C_2$  terms + products of  $C_i$ 's

bound  $C_2$  terms using stability (dim of space of stable sheaves)

bound intersections using slope arguments

Thus when  $d < \underline{\text{bound}}$ , the only filtration is  $0 \subset N \subset E$  & we have a DM lift.

